

## **RBC Direct Investing Inc.**

## TRADING AUTHORIZATION

**FAX/SCAN COPY ACCEPTABLE** 

Account Hold	der Information		
Client Name:			
Account Number(s):			
APPLICABLE TO RESIDE Marriage-like relationship		A ONLY: Are you o	currently married, living common law or in a Yes No
<b>Please be advised t</b> FIRST NAME	hat the above applicant(s	s) has appointed	LAST NAME
			indersigned as Trading Authority with respect to transactions in those RBC in accordance with the conditions set out in this document:
both my Trading Auth		to be bound by. M	ding Authority are governed by the Operation of Account Agreement which y appointment of a Trading Authority will not, unless I specifically direct
securities on my beha Trust designated by n	alf, on margin or otherwise, inclu	uding the instructior to transfer securitie	tructions of such Trading Authority with respect to the purchase and sale of as of such Trading Authority to debit the account at Royal Bank or Royal as and money between my Accounts and my Royal Bank or Royal Trust tions.
Account Agreement, a		ge that the Trading	ss to any and all Account Documentation, as defined in the Operation of Authority shall have such access upon acceptance of this Trading
acknowledged by RBC		n notification in writ	until notification to the contrary has been received in writing and duly ing has been given and acknowledged by me, all that the Trading Authority
			ing are at my risk and I undertake to hold RBC Direct Investing harmless including legal costs, arising out of any such transactions.
	ading Authority answers YES to rading these securities according		$^{3}$ on page 2, I am aware of my obligation to report purchases or sales and ation.
PRINT APPLICANT'S NAME			PRINT CO-APPLICANT'S NAME (JOINT ACCOUNTS ONLY)
APPLICANT'S SIGNATURE		DD/MM/YY)	CO-APPLICANT'S SIGNATURE (JOINT ACCOUNTS ONLY) DATE (DD/MM/YY)
			all documents or notice relating thereto be drafted in English only. Les parties aux ou avis y afférents soient rédigés en anglais seulement.
Trading Auth	nority's Informatio	n	
FIRST NAME	LAST NAME		EMPLOYER
HOME ADDRESS (P.O. Boxes	and c/o not accepted)		OCCUPATION
CITY	PROVINCE	POSTAL CODE	TYPE OF BUSINESS
TELEPHONE (HOME)	BUSINESS/DAY	EXTENSION	NAME OF FINANCIAL INSTITUTION
DATE OF BIRTH (DD/MM/YYY	<u>(Y)</u>		TRANSIT AND RANK ACCOUNT NUMBER

## TRADING AUTHORIZATION ( cont'd )

1 Relationship to Applicant(s)		
Are you an immediate family member of the Applicant(s)?  (By immediate family member we mean: spouse, parent, sibling, child, grandparent, grandchild, and/or any other family member who shares the Account holder's home)  Yes  No	)	
If YES, specify the relationship:		
If NO, complete a, b, c and d		
(a) Specify the relationship:		
(b) Are you charging a fee?	○ Yes	O No
(c) Do you have financial interest in the account?	○ Yes	O No
(d) Are you registered/licensed to provide financial advice in the province where the client/account holder resides?	○ Yes	O No
2 Are you or your spouse/partner a director, senior officer, 10% shareholder or more, or insider of any reporting issuer? (complete a a	and b)	
You: Yes No. (a) If yes, please indicate the name of issuer(s):	<b>)</b>	
Spouse/Partner: Yes No. (b) If yes, please indicate the name of issuer(s):	O O Not App	plicable
(b) 1. yes, please mareate the name of issuer(s).		
3 Do you or your spouse/partner, as individuals or in combination with others, control (directly or indirectly) more than 20% of the voting securities of any reporting issuer?	O Yes	O No
If yes, please indicate the name of issuer(s):		
4 Are you a partner, director, officer or employee of a Member firm of the Canadian Investment Regulatory Organization (CIRO) or a relative of any such individual living in the same household?	○ Yes	O No
If yes, please provide the name of the Member firm and provide the required authorization letter from the Member firm:		
5 Do you hold or have trading authority on any other accounts with RBC Direct Investing Inc. ?	○ Yes	O No
If Yes, please provide the account number(s):		
6 Provide your 16-digit RBC Royal Bank® Client Card number to link it to the RBC Direct Investing Inc. account.		
If I answered « yes » to either question 2 or 3, I am aware of my obligation to report purchases or sales and possible restriction securities according to applicable securities legislation.	ons on trading of f	these
We are required to collect and confirm the foregoing personal information during the course of our relationship to fulfill ou regulatory obligations in Canada and in some cases, abroad. We may obtain this information from a variety of sources, incagencies and other financial institutions, as is necessary. You acknowledge receipt of notice that from time to time robtained by us from credit reporting agencies.	luding from credi	it reporting
I accept the appointment as Trading Authority and confirm that I have read and understood this authorization and Agreement, including the clause "Protecting Your Privacy" and represent and warrant that I am familiar with the investment (s) and that the information included above is accurate and complete. I hereby authorize RBC Direct Investing to review the provided above and update my RBC Direct Investing account(s) and client information with the foregoing information, as necessary.	t objectives of the personal informa	e applicant
For an investment or registered account, I (Trading Authority) give RBC Direct Investing Inc. consent to obtain a soft credit to and acknowledge that such an inquiry does not affect my credit score and will be used to verify my identity as part of this when required.		
SIGNATURE OF TRADING AUTHORITY DATE (DD/MM/YY)		
RBC Direct Investing Use		
RBC Direct Investing Authorized Signature  DATE (DD/MM/YY)		

RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Canadian Investment Regulatory Organization and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. RBC Direct Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc. ® / ™ Trademark(s) of Royal Bank of Canada. RBC and Royal Bank are registered trademarks of Royal Bank of Canada. Used under licence. © Royal Bank of Canada 2024.