

Direct Investing

Letter of Authorization to Transfer Security to Non-Registered Account

This form is used to transfer a security held in Direct Registration System (DRS) directly from transfer agent only

1. Client Information	
First Name	Last Name
Current Address	
City	Province/State Post Code/ZIP Code
Phone Number (Home)	Business
2. Receiving Institution	
RBC Direct Investing Inc.	Please credit the shares to my account
Royal Bank Plaza 200 Bay Street, North Tower	Currency
P.O. Box 75, Toronto ON M5J 2Z5	Account Number
3. Client Direction to Delivering Institution (aka Transfer Agent)	
Delivering Institution Name	
Address	
City	Province/State Post Code/ZIP Code
Contact name Phone num	ber E-mail
4. Unrestricted Security held in Direct Registered System to be Transferred	
Security Name	Holder Account Number
Number of unrestricted shares to be Transferred	Cusip/ISIN
Transaction Description: DRS Statement Treasury Order Corporate Action Others, please specify -	
*Please attach the latest Direct Registration System transaction statement	
I declare the following:	
☐ Valid W8 or W9 form has been filed with US Transfer Agent (AKA certify account)	
**Please provide Taxpayer Identification Number (SIN/SSN/ITIN/EIN) on W8 or W9 form	
There is no change of beneficial ownership (not 3rd party transfer)	
☐ The name and address on DRS are one and the same as my RBC DI account set up	
☐ The address on DRS and RBC DI account set up are curr	rent
(Please note that there will be a rush transfer fee charge of \$	200 dollars if requested) Branch Signature Guarantee
Date: Signature Print Name	
DI stock desk use only	
Service Type:	vill be charged to account provided as per #3 requirement below).
Special requirement for same day rush service (available for Canadian Depository only) :	
1. SL Action request must be received before 10:00am for same day rush service.	
2. Specify the system to set up receive: CDS Deposit CDS TRAX, date to receive	
3. Provide account number to charge rush transfer fee (\$200))
Requestor Name (please print and sign) Branch Transit number	
Back Office use only - Market Value on request date	
Instruction received/processed by Date SL # RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are aff	Filiated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of
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