

# Update/Change - Non Personal Account

**FAX/SCAN COPY ACCEPTABLE**

Your request will be processed within three business days upon receipt of this form and applicable documentation.

**Important Information:**

- To avoid delays, all applicable Sections must be completed accurately and form signed and dated.
- Complete a separate Update/Change form for each Non-personal account.
- Once completed, forward to: RBC Direct Investing Inc., Royal Bank Plaza, 200 Bay Street, North Tower, P.O. Box 75, Toronto, Ontario M5J 2Z5; fax to 1-888-722-2388; or drop off at your local RBC Branch.
- For enquiries, please call 1-800-769-2560 or 416-977-1255 and speak with an Investment Services Representative.

**\* Required Information**

**\* Has any information on file changed (including account relationships such as Trading Authority(ies), Director(s), Beneficial owner(s), Insider Status, etc.)?**

Yes       No

**\* Account Information**

Name of Organization or Entity:

Operating as:

Type of Business:

CRA Tax Identification Number (TIN):

Non-Profit CRA Number (If Applicable):

RBC Direct Investing® Account Number:

Québec Enterprise Number (NEQ)  
(Applicable to registered entities in Québec):

Is this form being completed to re-open a closed account?       Yes       No

- To re-open an account (closed less than twelve months), **ALL** Sections of this form must be completed. Please note further documentation and information may be required. (Faxed copies are not acceptable)
- To re-open an account (closed more than twelve months), you must complete a new account application and submit with all required documentation.

**Section 1. Address and Contact Information**

**Legal/Registered Address**

No. and Street

P.O. Boxes, General Delivery or c/o addresses are not accepted

Ste.

City

Province

Postal Code

<b>Email Address</b>	Email Address <input type="text"/>
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<b>Contact</b>	First Name <input type="text"/>	Middle Initial <input type="text"/>
	Last Name <input type="text"/>	
	Title <input type="text"/>	

<b>Contact's Phone Number (Business / Cell)</b>	( <input type="text"/> ) <input type="text"/> - <input type="text"/> / ( <input type="text"/> ) <input type="text"/> - <input type="text"/>
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<b>Send all correspondence to:</b>	<input type="checkbox"/> Legal/Registered Address or <input type="checkbox"/> Address Below
	No. and Street <input type="text"/>
	City <input type="text"/>
	Province <input type="text"/> Postal Code <input type="text"/>

### Section 2. Financial Information For Entity

<b>Total Net Worth</b>	Net Liquid Assets <input type="text"/>	+	Net Fixed Assets <input type="text"/>
	= Total Net Worth <input type="text"/>		

<b>Annual Income</b>	<input type="radio"/> Under \$25,000	<input type="radio"/> \$25,000 - \$49,999
	<input type="radio"/> \$50,000 - \$99,999	<input type="radio"/> \$100,000 and over

<b>Source of Funds for Investment Purposes: (Choose one)</b>	<input type="radio"/> Self Employment Income	<input type="radio"/> Investment Income
	<input type="radio"/> Wages/Income	<input type="radio"/> Gift/Inheritance
	<input type="radio"/> Sale of Asset, Home or Business	<input type="radio"/> Savings
	<input type="radio"/> Settlement for Insurance /Legal Claim	

### Section 3. Banking Information (Must be in the name of the Organization/Entity)

<b>RBC Royal Bank Account Details</b>	Transit Number <input type="text"/>	CAD Account Number <input type="text"/>
	Transit Number <input type="text"/>	USD Account Number <input type="text"/>

## Section 4. Information Required On Individual Signing This Form

**1.** Are you a partner, director, officer or employee of a Member firm of the Investment Industry Regulatory Organization of Canada or a relative of any such individual living in the same household?

Yes     No

**If yes, please list the name of the Member firm and provide the required authorization letter from the Member firm:**

**2.** Will you (the account holder) use this account to conduct any activity or financial transactions by or on behalf of another person and/or entity?

Yes     No

**If yes, please complete and attach the supplemental form Third Party Determination Statement.**

**3.** Are you or your spouse/partner a director, senior officer, 10% shareholder or more, or insider of any reporting issuer?

**You:**                       Yes     No

**If yes, please indicate the name of issuer(s):**

**Spouse/Partner:**  Yes     No     Not Applicable

**If yes, please indicate the name of issuer(s):**

**4.** Do you or your spouse/partner, as individuals or in combination with others, control (directly or indirectly) more than 20% of the voting securities of any reporting issuer?

Yes     No

**If yes, please indicate the name of issuer(s):**

**Please Note:** If I have answered "yes" to questions **3** or **4**, I am aware of my obligations to report purchases or sales and possible restrictions on trading these securities according to applicable securities legislation.

## Section 5. Update Trading Authorities/Authorized Signatories/Members/Partners/Officers/Directors

1. Do you need to make a change to/update the information of the appointed Trading Authorities/Members/Partners on this account? \*\*

Yes  No

2. Do you need to make a change to/update the information of the signing Officers/Directors on this accounts? \*\*

Yes  No

3. Do you need to make a change to/update the information of the Beneficial Owners on this account? \*\*

Yes  No

4. Do you need to make a change to/update the information of the known beneficiaries, settlors, trustees, trust structure or trust document(s) on any account? \*\*

Yes  No

\*\*If you have answered 'YES' to any of these questions, please call 1-800-769-2560 or 416-977-1255 and speak with an Investment Services Representative for additional documentation required.

## \* Section 6. Acknowledgement and Agreement

- You certify that the information provided in this form is true, complete, current and accurate in all aspects and understand that the changes requested will be applied to the RBC Direct Investing Account specified on Page 1.
- You acknowledge and agree to the terms of the Operation of Account Agreement which is available to you by visiting [www.rbcdirectinvesting.com](http://www.rbcdirectinvesting.com), select 'Forms' from the bottom menu, then 'Client Agreements'.
- You agree to provide us with any further documentation and information that we, in our sole discretion may determine is required to maintain the account. We also in our sole discretion may determine the acceptable form and substance of such documentation and information.
- If there is no change required, you certify that all information previously provided to RBC Direct Investing is current and that no change is required at this time. You agree to provide RBC Direct Investing with any current information required to maintain the accounts. You also confirm that all information previously provided to RBC Direct Investing establishing the Entity's ownership, control and structure is true, complete and accurate.

Signature(s)

\_\_\_\_\_  
Authorized Signature for Organization/Entity

\_\_\_\_\_  
Date (MM/DD/YYYY)

\_\_\_\_\_  
Authorized Signature for Organization/Entity

\_\_\_\_\_  
Date (MM/DD/YYYY)

For RBC Direct Investing Use  
**Approval**

\_\_\_\_\_  
Authorized RBC Direct Investing Employee

\_\_\_\_\_  
Date (MM/DD/YYYY)

RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Investment Industry Regulatory Organization of Canada and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. does not provide investment advice or recommendations regarding the purchase or sale of any securities. Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc. ® / ™ Trademark(s) of Royal Bank of Canada. RBC and Royal Bank are registered trademarks of Royal Bank of Canada. Used under licence. © Royal Bank of Canada 2022.