



# TRADING AUTHORIZATION - SUPPLEMENTAL FORM

## \* Account Holder Information

Account Name:

Account Number:

## \* Required Information. Must be completed by the individual appointed to trade on the account. (Supporting documents may be required.)

### \* Name

\* First Name

Middle Initial

\* Last Name

### \* Home Address

\* No. and Street

P.O. Boxes, General Delivery or c/o addresses are not accepted

Apt./Ste.

\* City

\* Province

\* Postal Code

### \* Phone Number (Residential / Cell)

()  -  / ()  -

### Email address

### \* Date Of Birth

mm dd yyyy

### \* You are completing this form as:

Trading Officer

Trustee

Executor

Court Appointed Authority

General Power of Attorney

Other

### If you have selected "Trading Officer", please specify:

a) Your relationship to the Beneficial Owner(s)/Signing Officer(s) of the Entity:

b) Are you charging a fee?  Yes  No

c) Do you have financial interest in the account?  Yes  No

d) Are you registered/licensed to provide financial advice in the province where the client/account holder resides?  Yes  No

## Employment Information

### \* Employment Details

\* Occupation

\* Type of Business

\* Employer/School name

\* No. and Street

P.O. Boxes, General Delivery or c/o addresses are not accepted

Apt./Ste.

\* City

\* Province/State

\* Postal Code/Zip Code

### Business Phone Number

()  -  Extension

## Banking Information *(Bank reference information is used to verify your identification as required by Anti-Money Laundering legislation.)*

### \* Account Details

\* Name of Canadian Financial Institution

\* Transit Number

\* Account Number

## Spouse's Information *(Required by Securities Regulations)*

\* Are you married or living common-law?  Yes  No

If yes, please complete the following:

### \* Spouse's Name

\* First Name

Middle Initial

\* Last Name

### \* Spouse's Employment Details

\* Occupation

\* Type of Business

\* Employer/School name

## Additional Information

\*1 Are you or your spouse/partner a director, senior officer, 10% shareholder or more, or insider of any reporting issuer?

You:  Yes  No

If yes, please indicate the name of issuer(s):

Spouse/Partner:  Yes  No  Not Applicable

If yes, please indicate the name of issuer(s):

\*2 Do you or your spouse/partner, as individuals or in combination with others, control (directly or indirectly) more than 20% of the voting securities of any reporting issuer?

Yes  No

If yes, please indicate the name of issuer(s):

\*3 Are you a partner, director, officer or employee of a Member firm of the Investment Industry Regulatory Organization of Canada or are you a relative of any such individual living in the same household?

Yes  No

If yes, please list the name of the Member firm and attach the required authorization letter from the Member firm:

\*4 Do you hold or have trading authority on any other accounts with RBC Direct Investing Inc. ?

Yes  No

If Yes, please provide the account number(s) :

5 Provide your 16-digit RBC Royal Bank® Client Card number to link it to the RBC Direct Investing Inc. account.

If I answered « yes » to either question 1 or 2, I am aware of my obligation to report purchases or sales and possible restrictions on trading of these securities according to applicable securities legislation.

We are required to collect and confirm the foregoing personal information during the course of our relationship to fulfill our legal, regulatory and self-regulatory obligations in Canada and in some cases, abroad. We may obtain this information from a variety of sources, including from credit reporting agencies and other financial institutions, as is necessary. **You acknowledge receipt of notice that from time to time reports about you may be obtained by us from credit reporting agencies.**

I acknowledge that I have read and understood the "Operation of Account Agreement" and agree to be bound by all terms and conditions. *I hereby authorize RBC Direct Investing® to review the personal information I have provided on this form and update my RBC Direct Investing account(s) and client information with the foregoing information, as necessary.*

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Individual Appointed to Trade on the Account