



# Transfer Authorization - Non-Registered Accounts (Personal Investment)

## \* Required Information

### Account Holder Information

\* Title  Mr.  Mrs.  Miss  Ms.  Dr.

\* Name  
\* First Name  Middle Initial   
\* Last Name

\* Address  
\* No. and Street   
P.O. Boxes, General Delivery or c/o addresses are not accepted  
Apt./Ste.  \* City   
\* Province  \* Postal Code   
(A1A 1A1)

SIN Information SIN #

\* Phone Number  
Residential/Cell (  )  -  / Business/Day (  )  -   
(416) (555) (5555) (416) (555) (5555)

## Delivering Institution Information

**\*Delivering Institution Name**

**\* Name**

**\*Address**

**\* Address Line 1**

Address Line 2

Suite#

**\* City**

**\* Province**

**\* Postal Code**

(A1A 1A1)

**\*Delivering Institution Account Type**

**\* Account Type**

**\*Account Info**

**\* Account/Policy Number**

**\* In Name Of**

## RBC Direct Investing Receiving Account Information

**\*Transfer Details**

Transfer to Account #

Receiving Institution Account Type

Is this a Margin Account?  Yes  No

**Please select your transfer instructions (select one option only)**

- Transfer all of my assets as they are ("as is") including any existing cash balances to RBC Direct Investing® (All in Kind)
- Sell all of my assets and transfer the cash proceeds to RBC Direct Investing (All in Cash)
- Transfer all of my assets. However, sell a portion of them and have the cash proceeds along with the remainder of the account transferred "as is" to RBC Direct Investing (*Please provide details in Section (b) below*)
- Partial Transfer - Transfer only a portion of my assets/cash to RBC Direct Investing (*Please provide details in Sections (a) (b) and/or (c) below.*)

(Note: Mutual Fund Transfers - If 'All' not selected, indicate decimal before fractional shares)

**\*(a) Cash Balance Details**

**Transfer existing cash balance to my RBC Direct Investing account**

**\* Amount**

**Currency Type**

All or

CDN

All or

USD

**\*(b) Assets to be Sold**

**Sell the following assets and transfer the cash proceeds to my RBC Direct Investing account**

**\* No. of Units/Shares**

**\* Security Description/Symbol**

All or

All or

All or

All or

All or

All or

**\*(c) Assets to be Transferred in Kind (as is)**

**Transfer these assets "in kind" (as is) to my RBC Direct Investing account**

**\* No. of Units/Shares**

**\* Security Description/Symbol**

All or

All or

All or

All or

All or

All or

**If there is insufficient space to list all securities, please attach additional details.**

Additional details attached

## RBC Direct Investing Settlement Information

### RBC Direct Investing Inc.

Account Transfers  
Royal Bank Plaza  
200 Bay Street, North Tower  
P.O. Box 75  
Toronto, Ontario M5J 2Z5

Customer Service: 1-800-769-2560, Option 4

RBC Direct Investing Dealer Information :  
(For use by Delivering Institution only)

CUID: DOMA  
Dealer Rep Code: 9190  
DTC #: 5002  
Euroclear: 90065

## Client Authorization

I hereby request the transfer of my account and its assets as described above. Where I have requested a transfer in cash, I authorize the liquidation of all or part of my investments and agree to pay applicable fees, charges or adjustments. Please cancel all open orders (G.T.C./SWF/PAC/, etc.) for my account on your books.

Client Signature

X

Date

### To ensure your transfer is a smooth one:

- 1) If possible, attach a copy of your most recent statement. This will speed up the transfer process by allowing us to verify if the transfer is complete.
- 2) Please note the financial institution you are transferring from may levy a "transfer fee" on your account. Prompt settlement of this fee will help ensure that there are no delays in the transfer.
- 3) Some Guaranteed Investment Certificates are not transferable until maturity. Please check with the purchasing institution for eligibility.

RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Investment Industry Regulatory Organization of Canada and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. does not provide investment advice or recommendations regarding the purchase or sale of any securities. Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc. ® / ™ Trademark(s) of Royal Bank of Canada. RBC and Royal Bank are registered trademarks of Royal Bank of Canada. Used under licence. © Royal Bank of Canada 2018. All rights reserved.