



Transfer Authorization - Registered Accounts

*** Required Information**

Account Holder Information

*** Title**

Mr. Mrs. Miss Ms. Dr.

*** Name**

*** First Name**

Middle Initial

*** Last Name**

*** Address**

*** No. and Street**

P.O. Boxes, General Delivery or c/o addresses are not accepted

Apt./Ste.

*** City**

*** Province**

*** Postal Code**

(A1A 1A1)

*** SIN Information**

*** SIN #**

*** Phone Number**

Residential/Cell

() - / () -
(416) (555) (5555)

Business/Day

() - / () -
(416) (555) (5555)

Delivering Institution Information

***Delivering Institution Name**

*** Name**

***Address**

*** Address Line 1**

Address Line 2

Suite#

*** City**

*** Province**

*** Postal Code**

(A1A 1A1)

***Delivering Institution Account Type**

*** Account Type**

***Account Info**

*** Account/Policy Number**

*** In Name Of**

RBC Direct Investing Receiving Account Information

***Transfer Details**

Transfer to Account #

Receiving Institution Account Type

Please select your transfer instructions (select one option only)

- Transfer all of my assets as they are ("as is") including any existing cash balances to RBC Direct Investing® (All in Kind)
- Sell all of my assets and transfer the cash proceeds to RBC Direct Investing (All in Cash)
- Transfer all of my assets. However, sell a portion of them and have the cash proceeds along with the remainder of the account transferred "as is" to RBC Direct Investing (*Please provide details in Section (b) below*)
- Partial Transfer - Transfer only a portion of my assets/cash to RBC Direct Investing (*Please provide details in Sections (a) (b) and/or (c) below.*)

(**Note:** Mutual Fund Transfers - If 'All' not selected, indicate decimal before fractional shares)

***(a) Cash Balance Details**

Transfer existing cash balance to my RBC Direct Investing account

*** Amount**

Currency Type

All or

CDN

All or

USD

***(b) Assets to be Sold**

Sell the following assets and transfer the cash proceeds to my RBC Direct Investing account

*** No. of Units/Shares**

*** Security Description/Symbol**

All or

All or

All or

All or

All or

All or

***(c) Assets to be Transferred in Kind (as is)**

Transfer these assets "in kind" (as is) to my RBC Direct Investing account

*** No. of Units/Shares**

*** Security Description/Symbol**

All or

All or

All or

All or

All or

All or

If there is insufficient space to list all securities, please attach additional details.

Additional details attached

RBC Direct Investing Settlement Information

RBC Direct Investing Inc.

Account Transfers
Royal Bank Plaza
200 Bay Street, North Tower
P.O. Box 75
Toronto, Ontario M5J 2Z5

Customer Service: 1-800-769-2560, Option 4

RBC Direct Investing Dealer Information:
(For use by Delivering Institution only)

CUID: DOMA
Dealer Rep Code: 9190
DTC #: 5002
Euroclear: 90065

Client Authorization

I hereby request the transfer of my account and its assets as described above. Where I have requested a transfer in cash, I authorize the liquidation of all or part of my investments and agree to pay applicable fees, charges or adjustments. Please cancel all open orders (G.T.C./SWF/PAC/, etc.) for my account on your books.

Client Signature

X

Date

To ensure your transfer is a smooth one:

- 1) If possible, attach a copy of your most recent statement. This will speed up the transfer process by allowing us to verify if the transfer is complete.
- 2) Please note the financial institution you are transferring from may levy a "transfer fee" on your account. Prompt settlement of this fee will help ensure that there are no delays in the transfer.
- 3) Some Guaranteed Investment Certificates are not transferable until maturity. Please check with the purchasing institution for eligibility.

For use by Delivering Institution only

Account Type: RSP LIRA Spousal RSP LRIF LIF PRIF RLIF RLSP
 TFSA Group RSP Group Spousal RSP
 RIF Spousal RIF Qualified Non-Qualified

Spousal Plan (Complete if "Yes") Yes No

Spouse's Last Name Spouse's Given Name Spouse's SIN #

Locked-in Funds Yes No

\$

Status of Plan Holder: Member Spouse of Member
 Former Spouse of Member

Applicable Pension Legislation: Federal Provincial

If Provincial, specify legislation under which the pension money/fund is governed (example: Alberta, B.C., etc.)

Are All Funds to be Applied on a Unisex Basis?

Yes No If no, what percentage/amount should be applied on a sex distinct basis?

Percent % Dollar Amount
 or \$

Contact Name Telephone Number / Fax Number
() - / () -
(416) (555) (5555) (416) (555) (5555)

I certify that the information given in this section is true and complete in every aspect.

Authorized Signature

Total Amount Transferred

MM DD YYYY

RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Investment Industry Regulatory Organization of Canada and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. does not provide investment advice or recommendations regarding the purchase or sale of any securities. Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc. ® / ™ Trademark(s) of Royal Bank of Canada. RBC and Royal Bank are registered trademarks of Royal Bank of Canada. Used under licence. © Royal Bank of Canada 2018. All rights reserved.