

RBC Direct Investing Inc.
Trusted Contact Person (TCP) Authorization Form

Client Name: _____

Client Account Number(s): _____

Naming a Trusted Contact Person (TCP) could help RBC Direct Investing Inc. (hereinafter referred to as “we”, “us”, or “our”) protect you and your interests. It allows us to know who you trust and provides us with permission to contact your TCP when there are concerns of possible financial exploitation or if there are concerns about your mental capacity. Naming a TCP is optional. Naming a TCP does not provide the individual with authority to make decisions on your behalf and does not provide your TCP with access or the ability to provide instructions regarding your account(s) with us.

Who should you designate as your TCP? Preferably choose someone you trust who is:

- Mature (regardless of age) and able to conduct potentially difficult conversations about your personal situation.
- Not involved in making investment decisions or transactions with respect to your account(s).
- In addition to and separate from a Power of Attorney or Trading Authority on your account(s).

Can you change your TCP? You may change or remove your TCP by submitting a new authorization form, which will replace or revoke any prior designation.

By completing this form, you consent and agree to the following:

- With the appointment of the below mentioned as your TCP, you agree that we may contact your TCP at our discretion in relation to your current or future account(s) if there are concerns with your wellbeing in specific circumstances, including:
 - If we need to get in touch with you and cannot reach you after repeated attempts.
 - If financial exploitation is suspected.
 - If there are concerns about your mental capacity as it relates to decisions involving financial matters.
 - To confirm the accuracy of your contact information.
 - To confirm or obtain the name and contact information of your legal representative(s) (if any).
- You agree that you will notify your TCP that you have named them as such and obtain their consent to share their personal information with us before submitting this form.
- You acknowledge the purpose of this authorization and understand that this does not provide your TCP with authority to make any decisions, or effect any transactions, on your behalf. You confirm the information to be complete and accurate and that you will notify us without delay if there is any change to the information provided.
- You consent to us disclosing personal information and information about your current and any future account(s) in the circumstances indicated above to your TCP.
- You agree to release us from all claims, causes of action, damages, losses, expenses, costs, and liabilities, direct or indirect, of any kind that may arise out of, relate to, or are in connection with us contacting or failing to contact your TCP, or that may arise from, or relate to, the release of information to your TCP.

RBC Direct Investing Inc.
Trusted Contact Person (TCP) Authorization Form**TRUSTED CONTACT PERSON**_____
FIRST NAME_____
LAST NAME_____
RELATIONSHIP TO ACCOUNT HOLDER_____
PHONE NUMBER_____
EMAIL ADDRESS

If you wish to withdraw consent for us to contact your TCP, and do **not** wish to name a replacement TCP please select the below checkbox to indicate that you no longer want to have TCP on file.

☐ I would like to remove my current Trusted Contact Person (TCP) from my RBC DI profile.

To view and update your current contact information and contact information for your TCP electronically, login to your RBC Direct Investing account and select View Profile and Account setting under the Profile icon menu on the top right-hand side of the RBC Direct Investing online investing site. Select Change your client profile to update your information

Once completed, submit by mail, fax, or drop off at any RBC Royal Bank branch:

RBC Direct Investing Inc.
Royal Bank Plaza
200 Bay Street, North Tower
P.O. BOX 75
Toronto, Ontario M5J 2Z5

Fax: 1-888-722-2388
Tel: 1-800-769-2560

PRINT CLIENT'S NAME_____
CLIENT'S SIGNATURE_____
DATE (MM/DD/YYYY)