

# Update/Change of Client Information

## (Name Change and/or Out of Country Address Change)

FAX/SCAN COPY ACCEPTABLE

### Important Information:

- Updates/changes will apply to all RBC Direct Investing® accounts in your name, including joint accounts.
- For joint accounts, a separate form is required for each applicant to update their information.
- To avoid delays in processing your request, kindly ensure applicable Sections are completed accurately and form is signed and dated.
- ALL Sections of this form must be completed for a Name Change. Please contact us for additional required documentation.
- Once completed, forward to: RBC Direct Investing Inc., Royal Bank Plaza, 200 Bay Street, North Tower, P.O. Box 75, Toronto, Ontario M5J 2Z5; or, fax to 1-888-722-2388 or drop off at your local RBC Branch.
- **NOTE: The following change requests require additional documents from you and cannot be completed through submission of this form:**
  - Date of birth changes;
  - Updating whether you (the account holder) will use this account to conduct any activity or financial transactions by or on behalf of another person and/or entity;
  - Trading Authority and General Power of Attorney information/changes.
  - Adding/Updating/Removing a Trusted Contact Person (TCP)

### \* Required Information

\* Has any of your information on file changed (including account relationships such as Trading Authority, General Power of Attorney, Insider status, etc.)?

Yes  No

To make changes to the above listed information which cannot be completed using this form as additional documents are required, please contact us at 1-800-769-2560 or 416-977-1255 and speak with an Investment Services Representative.

### \* Personal Information

Name

First Name

Initial

Last Name

RBC Royal Bank/RBC Direct  
Investing Client Card Number or  
RBC Direct Investing Account  
Number

## Citizenship/SIN Information

### Citizenship

Are you a Canadian Citizen?  Yes  No

SIN #

Are you a U.S. Citizen or a U.S. resident for tax purposes?  Yes  No

SSN #

U.S. Citizens or U.S. residents for tax purposes will need to complete a W-9 form

## Address Information

### Home Address

No. and Street

P.O. Boxes, General Delivery or c/o addresses are not accepted

Apt./Ste.

City

Province/State

Postal/ZIP Code

Country

### Phone Number (Residential / Cell)

(  )  -  / (  )  -

### Email address

### Mailing Address

(if different from above)

No. and Street

Apt./Ste.

City

Province/State

Postal/ZIP Code

Country

## Financial Information

### Annual Income

Under \$25,000

\$25,000 - \$49,999

\$50,000 - \$99,999

\$100,000 and over

### Total Net Worth

Net Liquid Assets

+

Net Fixed Assets

= Total Net worth

## Banking Information

For RBC Direct Investing joint accounts, if changing banking information on file to a sole account, the bank account number must be in the name of the party requesting the change. Must be RBC Royal Bank Account.

Note: Your RBC Royal Bank account number will be changed on all your RBC Direct Investing joint accounts.

### Account Details

Transit Number

Account Number

## Employment Information

### Employment Details

Occupation

Type of Business

### Employer Details

Employer/School Name

No. and Street

City

Province/State

Postal/ZIP Code

Country

### Business Phone Number

(  )  -

Extension

## Spouse or Common-law Partner Information

Are you married or do you have a common-law spouse?

Yes  No

If yes, please complete your spousal information:

Spouse or Common-law Partner's Name

First Name

Initial

Last Name

**Spouse or Common-law Partner's  
Employment Details**

Occupation

Type of Business

Employer/School Name

**Additional Information (Also required if employment or spousal information has been changed)**

**1.** Are you a partner, director, officer or employee of a Member firm of the Investment Industry Regulatory Organization of Canada or a relative or any such individual living in the same household?

Yes       No

**If yes, please list the name of the Member firm and provide the required authorization letter from the Member firm:**

**2.** Are you or your spouse/partner a director, senior officer, 10% shareholder or more, or insider of any reporting issuer?

You:  Yes       No

**If yes, please indicate the name of issuer(s):**

Spouse/Partner:  Yes       No       Not Applicable

**If yes, please indicate the name of issuer(s):**

**3.** Do you or your spouse/partner, as individuals or in combination with others, control (directly or indirectly) more than 20% of the voting securities of any reporting issuer?

Yes       No

**If yes, please indicate the name of issuer(s):**

**Please Note:** If I have answered "yes" to questions 2 or 3, I am aware of my obligations to report purchases or sales and possible restrictions on trading these securities according to applicable securities legislation.

**\* Acknowledgement and Agreement**

I agree and certify that all information provided in this form is true, complete, current, and accurate in all aspects and understand that the changes requested will be applied to all accounts in my name.

If there is no change required, I certify that all information previously provided to RBC Direct Investing is current and that no change is required at this time. I agree to provide you with any current information required to maintain the accounts.

Client Signature

X

Date (DD/MM/YYYY)

**FOR RBC Direct Investing Use**

\_\_\_\_\_  
Authorized RBC Direct Investing Employee

\_\_\_\_\_  
Date

RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Investment Industry Regulatory Organization of Canada and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. does not provide investment advice or recommendations regarding the purchase or sale of any securities. Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc. ® / ™ Trademark(s) of Royal Bank of Canada. RBC and Royal Bank are registered trademarks of Royal Bank of Canada. Used under licence. © Royal Bank of Canada 2021. All rights reserved.