



Direct  
Investing

E-FORM 2702 (07/2024)

**RBC Direct Investing Inc.**  
**Royal Bank Plaza**  
**200 Bay Street, North Tower**  
**P.O. Box 75**  
**Toronto, Ontario**  
**M5J 2Z5**

Thank you for applying to open a new account with RBC Direct Investing®. After you submit your application, you will receive an email from us to let you know your account has been approved and is ready to use online.

If you use RBC Online Banking®, have provided us with your RBC client card number and have not objected to consolidating your information, you can use one login and password to access both your RBC Royal Bank and RBC Direct Investing accounts. You can also use your existing RBC personal access code when you contact us by phone.

If you are new to RBC®, we will send you an RBC Direct Investing client card number in the mail. You can use this number to access your account online.

If you have any questions or need help getting started, contact us [through our website](#), or call us directly at 1-800-769-2560.

Thank you for choosing RBC Direct Investing for your self-directed investing needs.

Your Investment Services Team

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## Joint Investment Account Application (3 or 4 Applicants) Order Execution Only Account

If you have an Offer Code please  
enter it here

Please note not all accounts are eligible for certain offers  
and some conditions may apply.

### \* Required Information

#### Applicant (#1)

\* Title ☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr.

\* Name

\* First Name

Middle Initial

\* Last Name

#### Applicant (#2)

\* Title ☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr.

\* Name

\* First Name

Middle Initial

\* Last Name

#### Applicant (#3)

\* Title ☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr.

\* Name

\* First Name

Middle Initial

\* Last Name

#### Applicant (#4)

\* Title ☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr.

\* Name

\* First Name

Middle Initial

\* Last Name

## Applicant (#1) Financial Information (Required by Anti-Money Laundering legislation to verify your identification)

### \* My Financial Institution

\* Bank Name

Bank Address

\* City

\* Province

Postal Code

\* Transit Number

\* Account Number

### \* Source of Funds for Investment Purposes: (Choose one)

☐ Self Employment Income

☐ Investment Income

☐ Wages Income

☐ Gift/Inheritance

☐ Sale of Asset, Home or Business

☐ Savings/Retirement Funds

☐ Settlement for Insurance /Legal Claim

## Applicant (#2) Financial Information (Required by Anti-Money Laundering legislation to verify your identification)

### \* My Financial Institution

\* Bank Name

Bank Address

\* City

\* Province

Postal Code

\* Transit Number

\* Account Number

### \* Source of Funds for Investment Purposes: (Choose one)

☐ Self Employment Income

☐ Investment Income

☐ Wages Income

☐ Gift/Inheritance

☐ Sale of Asset, Home or Business

☐ Savings/Retirement Funds

☐ Settlement for Insurance /Legal Claim

### Applicant (#3) Financial Information (Required by Anti-Money Laundering legislation to verify your identification)

**\* My Financial Institution**

**\* Bank Name**

**Bank Address**

**\* City**

**\* Province**

**Postal Code**

**\* Transit Number**

**\* Account Number**

**\* Source of Funds for Investment Purposes: (Choose one)**

☐ Self Employment Income

☐ Investment Income

☐ Wages Income

☐ Gift/Inheritance

☐ Sale of Asset, Home or Business

☐ Savings/Retirement Funds

☐ Settlement for Insurance /Legal Claim

### Applicant (#4) Financial Information (Required by Anti-Money Laundering legislation to verify your identification)

**\* My Financial Institution**

**\* Bank Name**

**Bank Address**

**\* City**

**\* Province**

**Postal Code**

**\* Transit Number**

**\* Account Number**

**\* Source of Funds for Investment Purposes: (Choose one)**

☐ Self Employment Income

☐ Investment Income

☐ Wages Income

☐ Gift/Inheritance

☐ Sale of Asset, Home or Business

☐ Savings/Retirement Funds

☐ Settlement for Insurance /Legal Claim

## Account Details

**\* Account Type**

☐ Cash

☐ Margin

You are completing this form as a joint investment account. With the exception of accounts in the Province of Quebec, all RBC Direct Investing joint accounts are Joint With Rights of Survivorship.

**\* In what language would you like all future correspondence?**

☐ English

☐ French

**\* Mailing Address**

**\* No. and Street**

Apt./Ste.

**\* City**

**\* Province**

**\* Postal Code**

(A1A 1A1)

**\* Phone Number (Residential / Cell)**

()  -  / ()  -   
(416) (555) (5555) (416) (555) (5555)

**\* Email address**

**\* Bank account for funds transfer purposes**

We, the applicants wish to have the option to transfer funds between RBC Direct Investing Inc. account and RBC Royal Bank account.

☐ Yes

☐ No

Transit Number

CAD Account Number

Transit Number

USD Account Number

### \* Additional Information

Is there any other person who has a financial interest in this account or who exerts control over the assets in this account? **If yes, please complete and attach the supplemental form *Third Party Determination Statement*.**

☐ Yes ☐ No

### \* Account Purpose

### Dividend Reinvestment Plan

RBC Direct Investing's Dividend Reinvestment Plan (DRIP) allows stock dividends to be automatically reinvested to purchase new shares (whole shares only). Over 500 securities are eligible.

**\* Please check to have stock dividends automatically reinvested.**

☐ Yes ☐ No

(Please note that the Dividend Reinvestment Plan reinvests dividends of all qualifying securities in your account.)

### Shareholder Communication Instructions (Please refer to the Operation of Account Agreement for full details).

#### \* PART 1 - Disclosure of Beneficial Ownership

- ☐ **WE DO NOT OBJECT** to you disclosing the information described in the Operation of Account Agreement.
- ☐ **WE OBJECT** to you disclosing the information described in the Operation of Account Agreement.

#### \* PART 2 - Receiving Security-holder Materials

- ☐ **WE WANT** to receive ALL security-holder materials.
- ☐ **WE WANT** to receive ONLY proxy-related materials to a special meeting.
- ☐ **WE DECLINE** to receive all security-holder materials.

#### \* PART 3 - Consent to Mailing Cost

- ☐ **We WISH TO PAY** for delivery.
- ☐ **WE DO NOT WISH TO PAY** for delivery.

### Preferred Language of Communication

We will receive materials in the preferred language of communication (English or French) requested at the time of the account opening, if the issuer makes the materials available in that language.

## Acknowledgement and Agreement

By signing below, we have acknowledged and agreed that:

- Once this Application is approved, RBC Direct Investing Inc. may send additional agreements and/or disclosures and we agree to be bound by these agreements.
- We have read and agree to the section of the Operation of Account Agreement entitled "Consent to the Electronic Delivery of Documents," and understand how we can opt out of electronic delivery.
- **RBC Direct Investing Inc. does not give investment advice or recommendations or does not accept any responsibility to advise on the suitability of any of the investment decisions or transactions. We acknowledge that we are responsible for any investment decisions as well as for any profits or losses that may result.**

- ☐ We (the Applicants) hereby declare that the information given in this application is true, correct and complete in every respect.
- ☐ By reviewing the "**RBC Direct Investing Inc. Conflicts of Interest Disclosure & Operation of Account Agreement**", including the clauses "**Protecting Your Privacy**", "**Corporate Information**", "**Shared Premises**" and "**No Advice**", as well as "**No Suitability Assessment**" section under **Part F- Relationship Disclosure**, and by selecting this checkbox, I (Applicant and Co-Applicant) acknowledge and agree that we have received, read and understood this documentation and agree to everything there and on this application.
- ☐ We (the Applicants) have acknowledged that we have read the appropriate sections in the "**RBC Direct Investing Inc. Conflicts of Interest Disclosure & Operation of Account Agreement**" with respect to Margin Facility, if applicable, and agree to the stated terms. Furthermore, we understand that using borrowed money to finance the purchase of securities involves a greater risk than using cash resources only. If we borrow money to purchase securities, our responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.
- ☐ For an investment or registered account, we (the Applicants) give RBC Direct Investing Inc. consent to obtain a soft credit bureau inquiry. *Such an inquiry does not affect your credit score and will be used to verify your identity as part of your account application when required.* For a margin or option account, we (the Applicants) accept this as notice and give RBC Direct Investing Inc. consent to obtain a credit bureau report.

X Applicant (#1) Signature

Date

X Applicant (#2) Signature

Date

X Applicant (#3) Signature

Date

X Applicant (#4) Signature

Date

Royal Bank Employee Number

For RBC Direct Investing Use

**Approval**

\_\_\_\_\_  
Authorized RBC Direct Investing Employee

\_\_\_\_\_  
Date

RBC Direct Investing Inc. and Royal Bank of Canada are separate corporate entities which are affiliated. RBC Direct Investing Inc. is a wholly owned subsidiary of Royal Bank of Canada and is a Member of the Canadian Investment Regulatory Organization and the Canadian Investor Protection Fund. Royal Bank of Canada and certain of its issuers are related to RBC Direct Investing Inc. RBC Direct Investing Inc. does not provide investment advice or recommendations regarding the purchase or sale of any securities. Investors are responsible for their own investment decisions. RBC Direct Investing is a business name used by RBC Direct Investing Inc. ® / ™ Trademark(s) of Royal Bank of Canada. RBC and Royal Bank are registered trademarks of Royal Bank of Canada. Used under licence. © Royal Bank of Canada 2025.